

Transamerica Center for Retirement Studies®
11th Annual Survey - Employer Data Results

QUESTION	Company Size	2009/10	2008/09	2007
Q530: Percent of employers who offer a 401(k) or similar employee funded plan	Overall	82%	78%	72%
	Small	81%	76%	70%
	Large	92%	93%	93%
Q640: Percent of employers who offer a matching contribution	Overall	69%	76%	80%
	Small	68%	75%	79%
	Large	79%	78%	87%
Q670: Percent of employers who made changes to their company 401(k) or similar employee funded plan in the past year	Overall	27%	24%	23%
	Small	25%	21%	22%
	Large	40%	36%	34%
Q1025: Percent of employers who automatically enroll employees into their company sponsored retirement plan	Overall	27%	24%	23%
	Small	25%	21%	22%
	Large	43%	39%	31%
Q580: Of those employers who do not automatically enroll employees into their company sponsored retirement plan, the percentage that do not plan to do so in the future	Overall	84%	80%	77%
	Small	86%	82%	79%
	Large	64%	70%	60%
Q730: Percent of employers who believe they give employees the right information to make decisions about their retirement plan	Overall	93%	96%	94%
	Small	93%	96%	93%
	Large	97%	96%	97%
Q592: Percent of employers who offer investment guidance or advice for employees as part of their retirement plan	Overall	58%	58%	61%
	Small	56%	56%	60%
	Large	69%	66%	65%
Q594: Of those employers who are not offering investment guidance or advice for employees, the percentage that do not plan to do so in the near future	Overall	88%	88%	76%
	Small	89%	90%	77%
	Large	77%	72%	69%
Q2785: Percent of employers who have implemented programs in the last 12 months to help employees get back on track with their retirement savings	Overall	25%	N/A	N/A
	Small	23%	N/A	N/A
	Large	42%	N/A	N/A
Q540: Percent of employers who offer a Roth 401(k) or similar option	Overall	24%	23%	19%
	Small	24%	21%	19%
	Large	25%	29%	20%
Q770: Percent of employers who offer an income annuity as a distribution plan option for retiring employees	Overall	26%	24%	26%
	Small	24%	22%	23%
	Large	43%	31%	40%
Q770: Percent of employers who provide information about distribution options to help employees transition to retirement	Overall	79%	68%	67%
	Small	77%	63%	65%
	Large	90%	89%	82%
Q770: Percent of employers who offer pre-retirement seminars	Overall	24%	21%	22%
	Small	20%	17%	19%
	Large	47%	41%	42%

About Transamerica Center for Retirement Studies®

The Transamerica Center for Retirement Studies® (“The Center”) is a non-profit corporation and private operating foundation. The Center is funded by contributions from Transamerica Life Insurance Company and its affiliates and may receive funds from unaffiliated third-parties. For more information about The Center, please refer to www.transamericacenter.org.

About the 11th Annual Retirement Survey

A telephone survey was conducted by Harris Interactive on behalf of Transamerica Center for Retirement Studies® among a nationally representative sample of 601 employers. Potential respondents were targeted based on job title at for-profit companies and met the following criteria:

- Business executives who make decisions about employee benefits at his or her company
- Employ 10 employees or more across all locations

Telephone interviews were conducted between December 1, 2009 and January 8, 2010 among large (500+ employees) and small (10 – 499 employees) companies. Results were weighted as needed using weighting targets from the Dun & Bradstreet database to ensure each quota group had a representative sample based on the number of companies in each employee size range. A full methodology is available.

About the 10th Annual Retirement Study

The employer survey was conducted by telephone within the United States by Harris Interactive on behalf of Transamerica Center for Retirement Studies® between January 5, 2009 and February 28, 2009 among 596 for-profit employers with 10 or more employees across all locations. No estimates of theoretical sampling error can be calculated; a full methodology is available.

About the 9th Annual Retirement Survey

The employer survey was conducted by telephone within the United States by Harris Interactive on behalf of Transamerica Center for Retirement Studies® between October 11 and November 21, 2007 among 652 for-profit employers with 10 or more employees across all locations. A full methodology is available.

About Harris Interactive

Harris Interactive is one of the world's leading custom market research firms, leveraging research, technology, and business acumen to transform relevant insight into actionable foresight. Known widely for the Harris Poll and for pioneering innovative research methodologies, Harris offers expertise in a wide range of industries including healthcare, technology, public affairs, energy, telecommunications, financial services, insurance, media, retail, restaurant, and consumer package goods. Serving clients in over 215 countries and territories through our North American, European, and Asian offices and a network of independent market research firms, Harris specializes in delivering research solutions that help us – and our clients – stay ahead of what's next. For more information, please visit www.harrisinteractive.com.